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ATTY: JWB/LR  
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- PCT -

PATENT NO. \_\_\_\_\_  
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BIRCH, STEWART, KOLASCH & BIRCH, LLP

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Sequence Listing SMALL ENTITY (FILED)

SUPPLEMENTAL VOLUME(S) \_\_\_\_\_

conf# 3565

INVENTOR Toshinari Sugasawa, Jun Hidaka, Hajime Kawakami

TITLE Recombinant human SM-11044-binding receptor proteins exhibiting ligand-binding activities, and their uses

APPLICATION NO. 09/736,681

FILED: MARCH 8, 2001

April 30, 2001 (1CA4)

PRIORITY CLAIMED \_\_\_\_\_

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DRWGS. NO. OF SHEETS 1 (CA4)

FOREIGN CASES Japan

253771/1998

Sept 8, 1998

Intl Appln. no.: PCT/J99/04808

Filed Sept. 6, 1999

| PTO ACTIONS             | CALL-UP                      | RESPONSES  |
|-------------------------|------------------------------|--|
| <u>Perfect Filing</u>   | <u>May 18, 2001</u>          | <u>Prelim JWB 3-8-01</u>                           |
| <u>NR (Seq LISTING)</u> | <u>6-18-01</u>               | <u>perfected 4-30-2001</u> <u>feeb</u>             |
| <u>OA 9-5-02</u>        | <u>RESTRICTION 12-5-02</u>   | <u>Prelim Amendment Filed 5-4-2001</u> <u>Jun3</u> |
| <u>OA 12-17-02</u>      | <u>AMEND 3-17-03</u>         | <u>filed 6-18-01</u> <u>BCF</u>                    |
|                         | <u>DRAWINGS 3-17-03</u>      | <u>Response 10-7-02 JWB</u>                        |
|                         | <u>Amendment (X) 4-17-03</u> | <u>extended 3-17-03</u> <u>KLR</u>                 |
|                         | <u>Drawings (X) 4-17-03</u>  | <u>extended 3-17-03</u> <u>KLR</u>                 |
|                         | <u>Amendment (X) 5-17-03</u> | <u>extended 4-17-03</u> <u>KLR</u>                 |
|                         | <u>Drawings (X) 5-17-03</u>  | <u>extended 4-17-03</u> <u>KLR</u>                 |
|                         |                              | <u>filed 5-19-03</u> <u>KLR</u>                    |
|                         |                              | <u>filed 5-19-03</u> <u>KLR</u>                    |
|                         |                              | <u>supp reply 9-16-03</u> <u>KLR</u>               |
| <u>Allowed 9.30.03</u>  | <u>Issue Fee 12.30.03</u>    | <u>Paid 12-29-03</u> <u>KLR</u>                    |

ASSIGNMENT

PARENT/OFFSPRING

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53(b) Div. Filed 1-13-04  
(20-5213P)

TO Sumitomo Pharmaceuticals Co. Ltd.

ADDRESS Osaka - Japan

RECORDED 4-30-01

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DOCKET NO. 80-48279  
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CLIENT Asagiri & Partners

THEIR REF. 53267117(KH)

ASSIGNEE REF.

ATTY. JWB/KLR

PATENT NO. \_\_\_\_\_

ISSUED \_\_\_\_\_

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ENTITY \_\_\_\_\_

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CONFIRMATION NO.: \_\_\_\_\_

SUPPLEMENTAL VOLUME(S) \_\_\_\_\_

INVENTOR Toshinari SUGASAWA and Jun HIDAKA

TITLE Recombinant Human SM-11044-Binding Receptor  
Proteins Exhibiting Ligand-Binding Activities, and Their Uses

APPLICATION NO.                      FILED:                     

PRIORITY CLAIMED \_\_\_\_\_ RECLAIMED \_\_\_\_\_ DRWGS. NO. OF SHEETS \_\_\_\_\_

FOREIGN CASES Japan 253771 1998 Sept. 8, 1998

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## ASSIGNMENT

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ADDRESS \_\_\_\_\_

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CLIENT AOYAMA & Partners

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